



VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am :

- ☐ the owner of the small business concern
identified below:
☒ an official of the small business concern
empowered to act on behalf of the concern
identified below:

NAME OF CONCERN: Alexion Pharmaceuticals, Inc.

ADDRESS OF CONCERN: 25 Science Park - Suite 360
New Haven, Connecticut 06511

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

METHODS AND COMPOSITIONS FOR THE TREATMENT OF INFLAMMATORY
DISEASES

by inventors Mark J. Evans, Louis A. Matis, Eileen Elliott
Mueller, Steven H. Nye, Scott Rollins, Russell
P. Rother, Jeremy P. Springhorn, Stephen P.
Squinto, Thomas C. Thomas, and James A.
Wilkins

described in

- ☐ the specification filed herewith
☒ application serial no.: 08/487,283
filed: 6/07/95
☐ patent no.: issued:

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: None

ADDRESS: _____

() INDIVIDUAL () SMALL BUS. CONCERN () NONPROFIT ORGAN.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Leonard Bell

TITLE OF PERSON

OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 25 Science Park - Suite 360
New Haven, Connecticut 06511

SIGNATURE: 

DATE: 9/14/95



Attorney Docket No.: ALX-152.1 CIP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS AND COMPOSITIONS FOR THE TREATMENT OF INFLAMMATORY DISEASES

the specification of which

_____ is attached hereto
X was filed on: June 7, 1995
Application Serial No.: 08/487,283
and was amended on: _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

Number	Country	Date Filed (mo/day/yr)	Priority Claimed (yes or no)
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATIONS

U.S. Application Serial No.	U.S. Filing Date	Status
08/236,208	May 2, 1994	Pending
_____	_____	_____

PCT APPLICATIONS DESIGNATING THE U.S.

PCT Application Serial No.	PCT Filing Date	U.S. Serial Nos. Assigned (if any)	Status
PCT/US95/05688	May 1, 1995	_____	Pending
_____	_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY:

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Seth A. Fidel, Ph.D.
Registration No.: 38,449

Maurice M. Klee, Ph.D.
Registration No.: 30,399

Send Correspondence and Direct Telephone Calls to:

Seth A. Fidel, Ph.D.
Alexion Pharmaceuticals, Inc.
25 Science Park
New Haven, CT 06511
(203) 776-1790

Full name of sole
or first inventor: Evans, Mark J.

Inventor's
signature: 

Date: 9/15/95

Residence: Cheshire, Connecticut 06410
Citizenship: U.S.
Post Office Address: 528 Wood Hill Road
Cheshire, Connecticut 06410

Full name of second
joint inventor: Matis, Louis A.

Second inventor's
signature: 

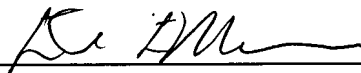
Date: 9/18/95

Residence: Southport Connecticut 06490
Citizenship: U.S.
Post Office Address: 775 Flintlock Road
Southport Connecticut 06490

Full name of third
joint inventor:

Mueller, Eileen Elliott

Third inventor's
signature: _____



Date: _____

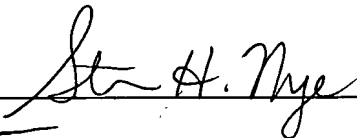
9-15-98

Residence: East Haven, Connecticut 06512
Citizenship: U.S.
Post Office Address: 30 Silver Sands Road - Unit 19F
East Haven, Connecticut 06512

Full name of fourth
joint inventor:

Nye, Steven H.

Fourth inventor's
signature: _____



Date: _____

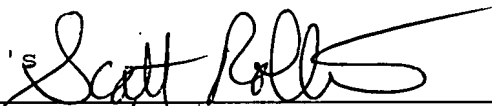
9/19/95

Residence: Mequon Wisconsin 532⁰⁹²~~89~~
Citizenship: U.S.
Post Office Address: 6906 West Waunakee Circle
Mequon Wisconsin 532⁰⁹²~~89~~

Full name of fifth
joint inventor:

Rollins, Scott

Fifth inventor's
signature: _____



Date: _____

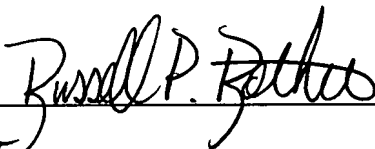
9/15/95

Residence: Monroe, Connecticut 06468
Citizenship: U.S.
Post Office Address: 12 Nutmeg Circle
Monroe, Connecticut 06468

Full name of sixth
joint inventor:

Rother, Russell P.

Sixth inventor's
signature:



Date:

9/15/95

Residence:

Cheshire, Connecticut 06410

Citizenship:

U.S.

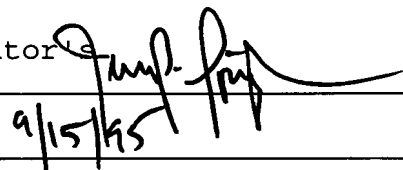
Post Office Address:

384 Black's Road
Cheshire, Connecticut 06410

Full name of seventh
joint inventor:

Springhorn, Jeremy P.

Seventh inventor's
signature:



Date:

9/15/95

Residence:

Cheshire, Connecticut 06410

Citizenship:

U.S.

Post Office Address:

105 Greens Loop
Cheshire, Connecticut 06410

Full name of eighth
joint inventor:

Squinto, Stephen P.

Eighth inventor's
signature:



Date:

9/15/95

Residence:

Bethany, Connecticut 06524

Citizenship:

U.S.

Post Office Address:

16 Coachmans Lane
Bethany, Connecticut 06524

Full name of ninth
joint inventor:

Thomas, Thomas C.

Ninth inventor's
signature: _____

Thomas C Thomas

Date: _____

9/15/95

Residence: Madison, Connecticut 06443
Citizenship: U.S.
Post Office Address: 23 Riverside Terrace
Madison, Connecticut 06443

Full name of tenth
joint inventor:

Wilkins, James A.

Tenth inventor's
signature: _____

James A Wilkins

Date: _____

9/15/95

Residence: Woodbridge, Connecticut 06525
Citizenship: U.S.
Post Office Address: 21 Clark Road
Woodbridge, Connecticut 06525